Chris A. Mack

1605 Watchhill Road, Austin, Texas 78703 Voice: 512-814-6225, email: chris@lithoguru.com

EDUCATION

University of Texas, Austin, TX Doctorate in Chemical Engineering Thesis Title: "Modeling Solvent Effects in Optical Lithography"	December 1998
University of Maryland, College Park, MD Master of Science in Electrical Engineering	December 1989
Rose-Hulman Institute of Technology, Terre Haute, IN Bachelor of Science degrees in Physics, Electrical Engineering, Chemistry, and Chemical Engineering	May 1982

EXPERIENCE

Fractilia, LLC, Austin, TX

1/17 – present Cofounder and Chief Technical Officer

• Developing software and algorithms to analyze SEM images of nanoscale features to measure edge roughness and stochastic variability for the semiconductor industry.

Lithoguru.com, Austin, TX

- 11/05 present Gentleman Scientist
 - Pursuing intellectual interests, research, writing, and teaching, as reflected on the website www.lithoguru.com.
 - Current major research interest: understanding the mathematical characterization of roughness, and developing an approximate analytical stochastic model of lithography line-edge and linewidth roughness.
 - Consulting in the fields of optics, semiconductor lithography, and semiconductor manufacturing, including legal expert witness services and business consultation.
 - Delivering in-person and on-line training in semiconductor manufacturing, lithography, and data analysis.

University of Texas at Austin, Austin, TX

- 8/91 present *Adjunct Faculty (part time)*
 - Teaching graduate and undergraduate courses in the Electrical Engineering and Chemical Engineering departments. Graduate courses include Semiconductor Microlithography, Chemical Processes for Microelectronics, Fourier Optics, and Statistics. Undergraduate courses include Electronic Circuits, Solid State Electronics, and Modern Optics. Served on the committees of numerous PhD dissertations.
 - Teaching loads have varied but have been in the range of 1 4 courses per year.

University of Canterbury, Christchurch, NZ

- 6/11 8/11 Erskine Visiting Fellow
 - Taught undergraduate course in the Electrical Engineering department: Semiconductor Microlithography. Participated in research on evanescent interferometric lithography.

University of Notre Dame, South Bend, IN

- 8/06 12/06 *Melchor Visiting Chair Professor*
 - Taught two graduate courses in the Electrical Engineering department: Semiconductor Microlithography, and Data Analysis and Modeling in the Real World.

KLA-Tencor, Austin, TX

2/00 - 11/05

Vice President of Lithography Technology

- Provided strategic vision in all lithography related products for KLA-Tencor, a \$2B Fortune 500 supplier of equipment to the semiconductor industry.
- Directed research efforts for four product divisions across two continents, including lithography simulation, optical and SEM critical dimension metrology, and optical overlay metrology. Obtained funding and managed resource allocation and strategic planning for critical long-term projects.
- Provided and oversaw successful turn-around strategies for two failing product lines.
- Provided internal consulting services in lithography to other KLA-Tencor divisions.
- Oversaw the acquisition of FINLE Technologies by KLA-Tencor and its transition to a successful product division.

FINLE Technologies, Austin, TX

2/90 - 2/00

CEO, President and Chief Technical Officer

- Founded company in 1990, pursuing it full time by the end of 1991.
- Responsible for overall corporate management, vision, strategic planning, technical direction, budgeting, new product development, and lithography research. Grew the company from one person and \$60,000 in revenue in 1990 to 25 people and \$2.5M in revenue in 2000.
- Developed the industry standard PROLITH Toolkit of lithography simulation software and the ProDATA suite of data analysis software.
- Provided consulting services to the semiconductor industry.
- Taught numerous short courses on optical lithography.

SEMATECH, Austin, TX

8/90 - 12/91

Lithography Engineer

- As an assignee of the department of defense to SEMATECH, provided lithography expertise to SEMATECH on a variety of different projects, including modeling and process development for deep-UV resist systems, processes optimization of the i-line production process, advanced development activities in phase-shifting mask technologies, and lithographic lens design.
- Taught short-term and long-term courses on lithography to SEMATECH staff and assignees.

National Security Agency, Fort Meade, MD

11/82 – 8/90 Senior Engineer - Lithography

• As a member of the Microelectronics Research Laboratory (MRL), was tasked with performing research for present and future agency needs in the area of microlithography for semiconductor processing. This work provided a unique blend of theoretical research (e.g., a mechanism for the development reaction, diffraction theory for proximity printing and aerial imaging) and experimental work (measurement of resist properties, model verification). Performed numerous practical and theoretical studies, e.g., resist coating uniformity on wafer tracks, mask bias effects for step-and-repeat printing, exposure optimization, image reversal techniques, and focus effects for submicron lithography. The results of this work have been published in numerous journals and presented at technical conferences, including invited papers at international conferences in Japan and Europe.

EXPERT WITNESS EXPERIENCE

November, 2016– present Expert witness, Morrison & Foerster for Nikon Corporation Case: Patent litigation, The Netherlands Testimony: expert declarations October, 2016 – May, 2017 Expert witness, Thompson & Knight for Broadcom Corporation Case: 2:16-cv-134 in the Eastern District of Marshall, Texas Testimony: expert declaration, provided deposition testimony May, 2015–July, 2016 Expert witness, Morrison & Foerster for Nikon Corporation Case: Arbitration Testimony: expert declaration, deposition testimony, testimony at arbitration hearing November, 2014 – May, 2015 Expert witness, Morrison & Foerster for Nikon Corporation Case: IPRs filed by Nikon (Cases IPR2015-00002 and IPR2015-00003) Testimony: expert declaration, provided deposition testimony October, 2013 – May, 2015 Expert witness, DSS Technology Case: Civil Action No. 2:14-cv-00199 against Samsung Electronics Co., Taiwan Semiconductor Corporation, and NEC Corporation of America Testimony: expert declaration, provided deposition testimony. Case: Defending patent in IPR, Case IPR2014-01030

Testimony: expert declaration, provided deposition testimony.

November, 2013 – January, 2015
Expert witness, Winston & Strawn for Macronix
Case: ITC Inv. No. 337-TA-893, Certain Flash Memory Chips and Products Containing the Same

Testimony: expert reports and expert rebuttal reports, gave three deposition testimonies, and testified at trial. Case: IPR filed by Macronix Testimony: expert declaration, provided deposition testimony.

March, 2007 – July 2013

Expert witness, Morrison & Foerster for Nikon Corporation Case: Anvik Corp. vs. Nikon Corp., United States District Court Sourthern District of New York, Civ. No. 7:05-7891 (SCR) (LMS) Testimony: Markman hearing (March 2010), wrote expert report and rebuttal report, provided deposition testimony

February, 2013 - May 2013

Expert witness, McKool Smith for Ericsson Case: ITC Inv. No. 337-TA-862, Certain Electronic Devices, Including Wireless Communication Devices, Tablet Computers, Media Players, And Televisions, And Components Thereof Testimony: none

March, 2008 - April, 2013

Expert witness and expert consultant, Stadheim & Grear for the University of New Mexico Case: Pursue licensing of University of New Mexico patents, Patent litigation, infringement action against Intel: STC.UNM vs. Intel, United States District Court District of New Mexico, Civ. No. 10-CV-01077-RB-WDS

Testimony: expert report on claim construction, provided deposition testimony

January, 2011 – June 2011

Expert witness, Fish and Richardson for Samsung

Case: ITC Inv. No. 337-TA-735, Certain Flash Memory Chips And Products Containing The Same

Testimony: wrote expert report and rebuttal report, provided deposition testimony

August, 2006 – February, 2008

Expert witness, Milbank, Tweed, Hadley & McCloy LLP for Renesas. Case: ITC Inv. No. 337-TA-595, Certain Dynamic Random Access Memory Devices And Products Containing Same Testimony: expert reports and expert rebuttal reports, gave two deposition testimonies, and testified at trial

COURSES TAUGHT AT THE UNIVERSITY OF TEXAS AT AUSTIN

EE 411	Circuit Theory (undergraduate)
EE 323	Network Theory II (undergraduate)
EE 325	Electromagnetic Engineering (undergraduate)
EE 338	Electronic Circuits I (undergraduate)
EE 339	Solid State Electronics (undergraduate)
PHY 333/EE 347	Modern Optics (undergraduate)
SSC306	Statistics in Market Analysis (undergraduate)
CHE 323/384	Chemical Engineering for Micro- and Nanofabrication (undergraduate/graduate)
CHE 379/384	From Data to Decisions (undergraduate/graduate)
EE 383P	Fourier Optics (graduate)
EE 396K/CHE 385C	Semiconductor Microlithography (graduate)
SSC380D	Statistical Methods II (graduate)

COURSES TAUGHT AT THE UNIVERSITY OF NOTRE DAME

EE 60598	Semiconductor Microlithography (graduate)
EE 60596	Data Analysis and Modeling in the Real World (graduate)

AWARDS

SPIE Frits Zernike Award for Microlithography, for contributions in lithography modeling and education, 2009

SEMI Award for North America, for contributions in lithography modeling and education, 2003

Best Paper Award, 18th Annual BACUS Symposium on Photomask Technology and Management, 1998.

INDUSTRIAL AND PROFESSIONAL SOCIETIES

Member of the Board of Trustees, Rose-Hulman Institute of Technology, 2008 - present

- Member of the Board of Advisors to the Physics Department, Rose-Hulman Institute of Technology, 2000 2008
- Member of the Board of Advisors to the Chemistry Department, Rose-Hulman Institute of Technology, 2003 2008
- Member of the Board of Advisors to the MEMS Laboratory, Rose-Hulman Institute of Technology, 2004 2008

Member of the Board of Directors, SPIE, 2018 - present

Associate Editor, Journal of Micro/Nanolithography, MEMS, and MOEMS (JM³), 2002 – 2011

Editor-in-Chief, Journal of Micro/Nanolithography, MEMS, and MOEMS (JM³), 2012 – present

Fellow of SPIE, 2006

Fellow of IEEE, 2010

Senior Member of the Optical Society of America

- Chairman of the Lithography Technical Working Group of the Optical Society of America, 1992 1996.
- Conference Chair, *Microlithographic Techniques in IC Fabrication*, SPIE Conference, 1997 and 2000, Singapore
- Conference Chair, *Lithography for Semiconductor Manufacturing*, SPIE Conference, 1999 and 2001, Edinburgh, Scotland
- Conference Chair, Advanced Microlithography Technology, SPIE Conference, 2007, Beijing, China

Plenary Speaker, SPIE 2003 Symposium on Microlithography.

Member of the Board of Advisors to Semiconductor International magazine, 1993 - 2004

Member of the Board of Advisors to Microlithography World magazine, 2003 - 2008

Contributing Columnist for Microlithography World magazine, 1993 - 2008

OTHER PROFESSIONAL EXPERIENCE

Expert witness consulting in the field of lithography and semiconductor design and manufacturing.

PUBLICATIONS

Books

Chris A. Mack, How to Write a Good Scientific Paper, SPIE (January, 2018)

Chris A. Mack, <u>Fundamental Principles of Optical Lithography: The Science of Microfabrication</u>, John Wiley & Sons (November, 2007).

Chris A. Mack, <u>Field Guide to Optical Lithography</u>, SPIE Field Guide Series Vol. FG06, (Bellingham, WA: 2006). Also available in Japanese.

Chris A. Mack, <u>Inside PROLITH: A Comprehensive Guide to Optical Lithography Simulation</u>, FINLE Technologies (Austin, TX: 1997). – Out of Print.

Book Chapters

C.A. Mack, "Microlithography", Chapter 9, <u>Semiconductor Manufacturing Handbook</u>, Hwaiyu Geng, Ed., McGraw Hill (New York: 2005).

Contributed "Microlithography" entry for the <u>McGraw Hill Encyclopedia of Science &</u> <u>Technology</u>, 9th Edition (2005).

Contributed lithography terms for: <u>Comprehensive Dictionary of Electrical Engineering</u>, Phillip A. Laplante, Ed., (CRC Press and IEEE Press, 1999).

C.A. Mack, "Optical Lithography Modeling," Chapter 2, <u>Microlithography Science and</u> <u>Technology</u>, J. R. Sheats and B. W. Smith, editors, Marcel Dekker (New York: 1998) pp. 109-170.

C.A. Mack and A. R. Neureuther, "Optical Lithography Modeling," Chapter 7, <u>Handbook of</u> <u>Microlithography, Micromachining, and Microfabrication, Volume 1: Microlithography</u>, P. Rai-Choudhury, editor, SPIE Press (Bellingham, WA: 1997) pp. 597-680.

R. Hershel and Chris A. Mack, "Lumped Parameter Model for Optical Lithography," Chapter 2, <u>Lithography for VLSI, VLSI Electronics - Microstructure Science Volume 16</u>, R. K. Watts and N. G. Einspruch, eds., Academic Press (New York:1987) pp. 19-55.

Conference Chair/Proceedings Editor

<u>Quantum Optics, Optical Data Storage, and Advanced Microlithography</u>, Proceedings of SPIE Volume 6827 (2007) Editors: Guangcan Guo; Songhao Liu; Guofan Jin; Kees A. Schouhamer Immink; Keiji Shono;

Chris A. Mack; Jinfeng Kang; Jun-en Yao

<u>Lithography for Semiconductor Manufacturing II</u>, Proceedings of SPIE Volume 4404 (2001) Editors: Chris A. Mack; Tom Stevenson

<u>Microlithographic Techniques in Integrated Circuit Fabrication II</u>, Proceedings of SPIE Volume 4226 (2000) Editors: Chris A. Mack; XiaoCong Yuan

<u>Lithography for Semiconductor Manufacturing</u>, Proceedings of SPIE Volume 3741 (1999) Editors: Chris A. Mack; Tom Stevenson

<u>Microlithographic Techniques in IC Fabrication</u>, Proceedings of SPIE Volume 3183 (1997) Editors: Soon Fatt Yoon; Raymond Yu; Chris A. Mack

Patents

U.S. Patent 5,363,171, Photolithography exposure tool and method for in situ photoresist measurements and exposure control, November 8, 1994

U.S. Patent 6,968,253, Computer-implemented method and carrier medium configured to generate a set of process parameters for a lithography process, November 22, 2005

U.S. Patent 7,075,639, Method and Mark for Metrology of Phase Errors on Phase Shift Masks, July 11, 2006

U.S. Patent 7,142,941, Computer-implemented Method and Carrier Medium Configures to Generate a Set of Process Parameters and/or a List of Potential Causes of Deviations for a Lithography Process, November 28, 2006.

U.S. Patent 7,297,453, Systems and Methods for Mitigating Variances on a Patterned Wafer Using a Prediction Model, November 20, 2007.

U.S. Patent 7,300,725, Method for Determining and Correcting Reticle Variations, November 27, 2007.

U.S. Patent 7,300,729, Method for Monitoring a Reticle, November 27, 2007.

U.S. Patent 7,303,842, Systems and Methods for Modifying a Reticle's Optical Properties, December 4, 2007.

U.S. Patent 7,352,453, Method for Process Optimization and Control by Comparison Between 2 or More Measured Scatterometry Signals, April 1, 2008.

U.S. Patent 7,368,208, Measuring Phase Errors on Phase Shift Masks, May 6, 2008.

U.S. Patent 7,382,447, Method for Determining Lithographic Focus and Exposure, June 3, 2008.

U.S. Patent 7,528,953, Target Acquisition and Overlay Metrology Based on Two Diffracted Orders Imaging, May 5, 2009.

U.S. Patent 7,566,517, Feature Printability Optimization by Optical Tool, July 28, 2009.

U.S. Patent 7,804,994, Overlay Metrology and Control Method, September 28, 2010.

Refereed Papers

- 1. Chris A. Mack, "Analytical Expression for the Standing Wave Intensity in Photoresist", *Applied Optics*, Vol. 25, No. 12 (15 June 1986) pp. 1958-1961.
- 2. Chris A. Mack, "Development of Positive Photoresists," *Journal of the Electrochemical Society*, Vol. 134, No. 1 (Jan. 1987) pp. 148-152.
- 3. Chris A. Mack, "Contrast Enhancement Techniques for Submicron Optical Lithography," *Journal of Vacuum Science & Technology*, Vol. A5, No. 4 (Jul./Aug. 1987) pp. 1428-1431.
- 4. Chris A. Mack, "Dispelling the Myths about Dyed Photoresist," *Solid State Technology*, Vol. 31, No. 1 (Jan. 1988) pp. 125-130.
- 5. Chris A. Mack, "Absorption and Exposure in Positive Photoresist," *Applied Optics*, Vol. 27, No. 23 (1 Dec. 1988) pp. 4913-4919.
- 6. Chris A. Mack and P. M. Kaufman, "Mask Bias in Submicron Optical Lithography," *Journal of Vacuum Science & Technology*, Vol. B6, No. 6 (Nov./Dec. 1988) pp. 2213-2220.
- 7. Chris A. Mack, "Understanding Focus Effects in Submicron Optical Lithography", *Optical Engineering*, Vol. 27, No. 12 (1 Dec 1988) pp. 1093-1100.
- 8. Chris A. Mack, "Lithographic Optimization Using Photoresist Contrast," *Microelectronics Manufacturing Technology*, Vol. 14, No. 1 (Jan. 1991) pp. 36-42.
- 9. D. H. Ziger and Chris A. Mack, "Generalized Approach toward Modeling Resist Performance," *AICHE Journal*, Vol. 37, No. 12 (Dec 1991) pp. 1863-1874.

- Chris A. Mack, E. Capsuto, S. Sethi, and J. Witowski, "Modeling and Characterization of a 0.5µm Deep Ultraviolet Process," *Journal of Vacuum Science & Technology*, Vol. B 9, No. 6 (Nov / Dec 1991) pp. 3143-3149.
- D. Ziger, Chris A. Mack, and R. Distasio, "Generalized Characteristic Model for Lithography: Application to Negative Chemically Amplified Resists," *Optical Engineering*, Vol. 31, No. 1 (1 Jan 1992) pp.98-104.
- 12. Chris A. Mack and J. E. Connors, "Fundamental Differences Between Positive and Negative Tone Imaging," *Microlithography World*, Vol. 1, No. 3 (Jul/Aug 1992) pp. 17-22.
- 13. D. W. Johnson and Chris A. Mack, Modeling the Continuing Realm of Optical Lithography" *Semiconductor International*, Vol. 15, No. 6 (June 1992) pp. 134-139.
- 14. Chris A. Mack, "New Kinetic Model for Resist Dissolution," *Journal of the Electrochemical Society*, Vol. 139, No. 4 (Apr. 1992) pp. L35-L37.
- 15. Chris A. Mack, "Understanding Focus Effects in Submicrometer Optical Lithography: a Review," *Optical Engineering*, Vol. 32, No. 10 (Oct. 1993) pp. 2350-2362.
- E. W. Charrier, C. J. Progler and Chris A. Mack, "Comparison of Simulated and Experimental CD-Limited Yield for a Submicron I-Line Process," *Solid State Technology*, Vol. 38, No. 11 (Nov. 1995) pp. 105-112.
- Chris A. Mack, "Lithographic Effects of Acid Diffusion in Chemically Amplified Resists," <u>Microelectronics Technology: Polymers for Advanced Imaging and Packaging</u>, ACS Symposium Series 614, E. Reichmanis, C. Ober, S. MacDonald, T. Iwayanagi, and T. Nishikubo, eds., ACS Press (Washington: 1995) pp. 56-68.
- 18. Chris A. Mack, "Evaluating Proximity Effects Using 3-D Optical Lithography Simulation," *Semiconductor International* (July, 1996) pp. 237-242.
- 19. Chris A. Mack, "Trends in Optical Lithography," *Optics and Photonics News* (April, 1996) pp. 29-33.
- 20. Chris A. Mack, G. E. Flores, W. W. Flack, and E. Tai, "Lithographic Modeling Speeds Thin-Film-Head Development," *Data Storage* (May/June, 1996) pp. 55-58.
- 21. Chris A. Mack, "Reducing Proximity Effects in Optical Lithography," *Japanese Journal of Applied Physics*, Vol. 35 (1996) pp. 6379-6385.
- 22. Chris A. Mack and G. Arthur, "Notch Model for Photoresist Dissolution," *Electrochemical and Solid State Letters*, Vol. 1, No. 2, (August, 1998) pp. 86-87.
- Chris A. Mack, K. E. Mueller, A. B. Gardiner, J. P. Sagan, R. R. Dammel, and C. G. Willson "Modeling Solvent Diffusion in Photoresist," *Journal of Vacuum Science & Technology*, Vol. B16, No. 6, (Nov., 1998) pp. 3779-3783.
- 24. Chris A. Mack, D. A. Legband, S. Jug, "Data Analysis for Photolithography" *MicroElectronic Engineering*, Vol. 46, Issues 1-4 (May 1999) pp. 65-68.
- 25. Chris A. Mack, "Electron Beam Lithography Simulation for Mask Making" *MicroElectronic Engineering*, Vol. 46, Issues 1-4 (May 1999) pp. 283-286.
- 26. Sergey Babin, Igor Yu. Kuzmin and Chris A. Mack, "Comprehensive Simulation of Electron-beam Lithography Processes Using PROLITH 3/D and TEMPTATION Software Tools," *MicroElectronic Engineering*, Volumes 57-58 (September 2001) pp. 343-348.

- 27. J. Byers, C. Mack, R. Huang, S. Jug, "Automatic Calibration of Lithography Simulation Parameters Using Multiple Data Sets," *MicroElectronic Engineering,* Volumes 61-62 (July 2002) pp. 89-95.
- Chris A. Mack, "Charting the Future (and Remembering the Past) of Optical Lithography Simulation," *Journal of Vacuum Science & Technology*, Vol. B 23, No. 6 (Nov / Dec 2005) pp. 2601-2606.
- 29. Chris A. Mack, "Accuracy, speed, new physical phenomena: The future of litho simulation," *Solid State Technology*, February, 2006.
- 30. Chris A. Mack, "The Future of Semiconductor Lithography: After Optical, What Next?", *Future Fab International*, Vol. 23 (7/9/2007).
- 31. Chris A. Mack, "Fab Future", SPIE Professional (Oct. 2008) pp. 10-11.
- 32. Chris A. Mack, "Seeing Double", IEEE Spectrum (Nov. 2008) pp. 46-51.
- 33. Chris A. Mack, "Stochastic approach to modeling photoresist development", *Journal of Vacuum Science & Technology*, Vol. B27, No. 3 (May/Jun. 2009) pp. 1122-1128.
- Chris A. Mack, "Stochastic Modeling in Lithography: Autocorrelation Behavior of Catalytic Reaction-Diffusion Systems," *Journal of Micro/Nanolithography, MEMS, and MOEMS*, Vol. 8, No. 2 (Apr/May/Jun 2009) p. 029701.
- Chris A. Mack, "Stochastic Modeling in Lithography: The Use of Dynamical Scaling in Photoresist Development," *Journal of Micro/Nanolithography, MEMS, and MOEMS*, Vol. 8, No. 3 (Jul/Aug/Sep 2009) p. 033001.
- 36. Chris A. Mack, "A Simple Model of Line-Edge Roughness", *Future Fab International*, Vol. 34 (July 14, 2010).
- 37. Chris A. Mack, "Stochastic modeling of photoresist development in two and three dimensions", *Journal of Micro/Nanolithography, MEMS, and MOEMS*, Vol. 9, No. 4 (Oct-Dec, 2010) p. 041202.
- 38. Chris A. Mack, "Fifty Years of Moore's Law", *IEEE Transactions On Semiconductor Manufacturing*, Vol. 24, No. 2 (May, 2011) pp. 202-207.
- Chris A. Mack, James W. Thackeray, John J. Biafore, and Mark D. Smith, "Stochastic Exposure Kinetics of EUV Photoresists: A Simulation Study", *Journal of Micro/Nanolithography, MEMS, and MOEMS*, Vol. 10, No. 3 (Jul-Sep, 2011) p. 033019.
- 40. Chris A. Mack, "Analytic form for the power spectral density in one, two, and three dimensions" *Journal of Micro/Nanolithography, MEMS, and MOEMS*, Vol. 10, No. 4 (Oct-Dec, 2011) p. 040501.
- 41. Chris A. Mack, "Reaction-diffusion power spectral density" *Journal of Micro/Nanolithography, MEMS, and MOEMS*, Vol. 11, No. 4 (Oct-Dec, 2012) p. 043007.
- 42. Chris A. Mack, "Generating random rough edges, surfaces, and volumes", *Applied Optics*, Vol. 52, No. 7 (1 March 2013) pp. 1472-1480.
- 43. Chris A. Mack, John J. Biafore, and Mark D. Smith, "Stochastic Exposure Kinetics of Extreme Utraviolet Photoresists: Trapping Model ", *Journal of Vacuum Science & Technology B*, Vol. 31, No. 6 (Nov/Dec, 2013) p. 06F603-1.

- 44. Siddharth Chauhan, et al., "Mesoscale modeling: a study of particle generation and lineedge roughness", *Journal of Micro/Nanolithography, MEMS, and MOEMS*, Vol. 13, No. 1 (Jan–Mar, 2014) p. 013012.
- 45. Chris A. Mack, "Analytical Expression for Impact of Linewidth Roughness on Critical Dimension Uniformity", *Journal of Micro/Nanolithography, MEMS, and MOEMS*, Vol. 13, No. 2 (Apr-Jun, 2014) p. 020501.
- 46. Chris A. Mack, "Analytical Expression for Impact of Linewidth Roughness on Critical Dimension Uniformity", *Journal of Micro/Nanolithography, MEMS, and MOEMS*, Vol. 13, No. 2 (Apr-Jun, 2014) p. 020501.
- 47. Chris A. Mack, "The Multiple Lives of Moore's Law", IEEE Spectrum, pp. 30-37 (Apr. 2015).
- 48. Chris A. Mack, "More systematic errors in the measurement of power spectral density", *Journal of Micro/Nanolithography, MEMS, and MOEMS*, Vol. 14, No. 3 (Jul-Sep, 2015) p. 033502.
- 49. Chris A. Mack, "Understanding the efficacy of linewidth roughness post-processing", *Journal of Micro/Nanolithography, MEMS, and MOEMS*, Vol. 14, No. 3 (Jul-Sep, 2015) p. 033503.
- 50. Chris A. Mack, "Biases and uncertainties in the use of autocovariance and height–height covariance functions to characterize roughness", *Journal of Vacuum Science & Technology B*, Vol. 34, No. 6 (Nov/Dec, 2016) p. 06K701.
- 51. Chris A. Mack, "Uncertainty in roughness measurements: putting error bars on line-edge roughness," *J. Micro/Nanolith. MEMS MOEMS*, **16**(1), 010501 (2017).
- Gian F. Lorusso, Vito Rutigliani, Frieda Van Roey, and Chris A. Mack, "Unbiased Roughness Measurements: Subtracting out SEM Effects", *Microelectronic Engineering*, **190**, 33–37 (2018).
- 53. Chris A. Mack, "Shot noise: a 100-year history, with applications to lithography", *Journal of Micro/Nanolithography, MEMS, and MOEMS*, **17**(4), 041002 (2018).
- 54. Chris A. Mack, "Reducing roughness in extreme ultraviolet lithography", *Journal of Micro/Nanolithography, MEMS, and MOEMS*, **17**(4), 041006 (2018).
- 55. Gian Francesco Lorusso, Takumichi Sutani, Vito Rutigliani, Frieda van Roey, Alain Moussa, Anne-Laure Charley, Chris Mack, Patrick Naulleau, Chami Perera, Vassilios Constantoudis, Masami Ikota, Toru Ishimoto, Shunsuke Koshihara, "Need for LWR metrology standardization: the imec roughness protocol", *Journal of Micro/Nanolithography, MEMS, and MOEMS*, **17**(4), 041009 (2018).

Invited Papers

- 1. Chris A. Mack, "Lithographic Simulation: A Review," *Lithographic and Micromachining Techniques for Optical Component Fabrication, Proc.,* SPIE Vol. 4440 (2001) pp. 59-72.
- 2. Chris A. Mack, "The End of the Semiconductor Industry as We Know It," *Optical Microlithography XVI*, Plenary Address, SPIE Vol. 5040 (2003) pp. xxi-xxxi.
- 3. Chris A. Mack, "The New, New Limits of Optical Lithography," *Emerging Lithographic Technologies VIII, Proc.*, SPIE Vol. 5374 (2004) pp. 1-8.
- 4. Chris A. Mack, "Thirty Years of Lithography Simulation," *Optical Microlithography XVIII, Proc.*, SPIE Vol. 5754-1 (2005), pp. 1-12.

- 5. Chris A. Mack, "What's So Hard About Lithography?," *presented at the ICMTS* (March, 2006), available at http://www.lithoguru.com/scientist/papers_recent.html.
- 6. Chris A. Mack, "Line-Edge Roughness and the Ultimate Limits of Lithography", presented at the *SPIE Advanced Lithography Conference*, February, 2012, available at http://www.lithoguru.com/scientist/papers_recent.html.
- 7. Chris A. Mack, "The future of lithography and its impact on design", presented at the *SPIE Advanced Lithography Conference*, February 27, 2013, available at http://www.lithoguru.com/scientist/papers_recent.html.
- 8. Chris A. Mack, "Understanding the efficacy of linewidth roughness post-processing", *Advances in Resist Technology and Processing XXXII, Proc.* SPIE Vol. 9425 (2015).

Contributed Papers

- 1. Chris A. Mack, "PROLITH: A Comprehensive Optical Lithography Model," *Optical Microlithography IV, Proc.*, SPIE Vol. 538 (1985) pp. 207-220.
- 2. Chris A. Mack and R. T. Carback, "Modeling the Effects of Prebake on Positive Resist Processing," *Kodak Microelectronics Seminar, Interface '85, Proc.*, (1985) pp. 155-158.
- 3. Chris A. Mack, "Advanced Topics in Lithography Modeling," *Advances in Resist Technology and Processing III, Proc.*, SPIE Vol. 631 (1986) pp. 276-285.
- 4. Chris A. Mack, A. Stephanakis, R. Hershel, "Lumped Parameter Model of the Photolithographic Process," *Kodak Microelectronics Seminar, Interface '86, Proc.*, (1986) pp. 228-238.
- 5. Chris A. Mack, "Photoresist Process Optimization," *KTI Microelectronics Seminar, Interface* '87, *Proc.*, (1987) pp. 153-167.
- T. Brown and Chris A. Mack, "Comparison of Modeling and Experimental Results in Contrast Enhancement Lithography," *Advances in Resist Technology and Processing V*, *Proc.*, SPIE Vol. 920 (1988) pp. 390-403.
- 7. Chris A. Mack, "Understanding Focus Effects in Submicron Optical Lithography," *Optical/Laser Microlithography, Proc.*, SPIE Vol. 922 (1988) pp. 135-148.
- 8. D. H. Ziger and Chris A. Mack, "Lithographic Characterization of a Rapid Ammonia Catalyzed Image Reversal Process," *KTI Microelectronics Seminar, Interface '88, Proc.*, (1988) pp. 165-175.
- Chris A. Mack and P. M. Kaufman, "Understanding Focus Effects in Submicron Optical Lithography, part 2: Photoresist effects," *Optical/Laser Microlithography II, Proc.*, SPIE Vol. 1088 (1989) pp. 304-323.
- 10. Chris A. Mack and P. M. Kaufman, "Focus Effects in Submicron Optical Lithography, Optical and Photoresist Effects," *The International Congress on Optical Science & Engineering*, Proc., Paris, France, SPIE Vol. 1138 (1989) pp. 88-105.
- 11. Chris A. Mack, "Optimum Stepper Performance Through Image Manipulation," *KTI Microelectronics Seminar, Interface '89, Proc.*, (1989) pp. 209-215.
- 12. Chris A. Mack, "Algorithm for Optimizing Stepper Performance Through Image Manipulation," *Optical/Laser Microlithography III, Proc.*, SPIE Vol. 1264 (1990) pp. 71-82.
- 13. Chris A. Mack, "Lithographic Optimization Using Photoresist Contrast," *KTI Microlithography Seminar, Interface '90, Proc.*, (1990) pp. 1-12.

- 14. P. Trefonas and Chris A. Mack, "Exposure Dose Optimization for a Positive Resist Containing Poly-functional Photoactive Compound," *Advances in Resist Technology and Processing VIII, Proc.*, SPIE Vol. 1466 (1991) pp. 117-131.
- 15. D. Ziger, Chris A. Mack, and R. Distasio, "The Generalized Characteristic Model for Lithography: Application to Negative Chemically Amplified Resists," *Advances in Resist Technology and Processing VIII, Proc.*, SPIE Vol. 1466 (1991) pp. 270-282.
- 16. Chris A. Mack, "Fundamental Issues in Phase-Shifting Mask Technology," *KTI Microlithography Seminar, Interface '91, Proc.*, (1991) pp. 23-35.
- 17. M. A. Toukhy, S. G. Hansen, R. J. Hurditch, and Chris A. Mack, "Experimental Investigation of a Novel Dissolution Model," *Advances in Resist Technology and Processing IX, Proc.*, SPIE Vol. 1672 (1992) pp. 286-296.
- Chris A. Mack, "Understanding Focus Effects in Submicron Optical Lithography, part 3: Methods for Depth-of-Focus Improvement," *Optical/Laser Microlithography V, Proc.*, SPIE Vol. 1674 (1992) pp. 272-284.
- Chris A. Mack and J. E. Connors, "Fundamental Differences Between Positive and Negative Tone Imaging," *Optical/Laser Microlithography V, Proc.*, SPIE Vol. 1674 (1992) pp. 328-338.
- 20. D. W. Johnson and Chris A. Mack, "I-line, DUV, VUV, or X-Ray?" *Optical/Laser Microlithography V, Proc.*, SPIE Vol. 1674 (1992) pp. 486-498.
- 21. Chris A. Mack, "Simple Method for Rim Shifter Design: The Biased Self-Aligned Rim Shifter," *12th Annual BACUS Symposium, Proc.*, SPIE Vol. 1809 (1992) pp. 229-236.
- 22. N. Thane, Chris A. Mack, and S. Sethi, "Lithographic Effects of Metal Reflectivity Variations," *Integrated Circuit Metrology, Inspection, and Process Control VII, Proc.*, SPIE Vol. 1926 (1993) pp. 483-494.
- 23. Chris A. Mack, "Phase Contrast Lithography," *Optical/Laser Microlithography VI, Proc.*, SPIE Vol. 1927 (1993) pp. 512-520.
- 24. Chris A. Mack, "Optimization of the Spatial Properties of Illumination," *Optical/Laser Microlithography VI, Proc.*, SPIE Vol. 1927 (1993) pp. 125-136.
- 25. P. M. Mahoney and Chris A. Mack, "Cost Analysis of Lithographic Characterization: An Overview," *Optical/Laser Microlithography VI, Proc.*, SPIE Vol.1927 (1993) pp. 827-832.
- 26. Chris A. Mack, "Designing the Ultimate Photoresist," OCG Microlithography Seminar, Interface '93, Proc., (1993) pp. 175-191.
- 27. G. E. Flores, W. W. Flack, E. Tai, and Chris A. Mack, "Lithographic Performance in Thick Photoresist Applications," *OCG Microlithography Seminar, Interface '93, Proc.*, (1993) pp. 41-60.
- 28. Chris A. Mack, D. P. DeWitt, B. K. Tsai, and G. Yetter, "Modeling of Solvent Evaporation Effects for Hot Plate Baking of Photoresist," *Advances in Resist Technology and Processing XI, Proc.*, SPIE Vol. 2195 (1994) pp. 584-595.
- 29. D. P. DeWitt, T. C. Niemoeller, Chris A. Mack, and G. Yetter, "Thermal Design Methodology of Hot and Chill Plates for Photolithography," *Integrated Circuit Metrology, Inspection, and Process Control VIII, Proc.*, SPIE Vol. 2196 (1994) pp. 432-448.
- 30. Chris A. Mack, "Enhanced Lumped Parameter Model for Photolithography," *Optical/Laser Microlithography VII, Proc.*, SPIE Vol. 2197 (1994) pp. 501-510.

- 31. Chris A. Mack and E. W. Charrier, "Yield Modeling for Photolithography," OCG Microlithography Seminar, Interface '94, Proc., (1994) pp. 171-182.
- J. S. Petersen, Chris A. Mack, J. W. Thackeray, R. Sinta, T. H. Fedynyshyn, J. M. Mori, J. D. Myers and D. A. Miller, "Characterization and Modeling of a Positive Acting Chemically Amplified Resist," *Advances in Resist Technology and Processing XII, Proc.*, SPIE Vol. 2438 (1995) pp. 153-166.
- J. S. Petersen, Chris A. Mack, J. Sturtevant, J. D. Byers and D. A. Miller, "Non-constant Diffusion Coefficients: Short Description of Modeling and Comparison to Experimental Results," *Advances in Resist Technology and Processing XII, Proc.*, SPIE Vol. 2438 (1995) pp. 167-180.
- E. W. Charrier and Chris A. Mack, "Yield Modeling and Enhancement for Optical Lithography," *Optical/Laser Microlithography VIII, Proc.*, SPIE Vol. 2440 (1995) pp. 435-447.
- 35. Chris A. Mack, "Focus Effects in Submicron Optical Lithography, Part 4: Metrics for Depth of Focus," *Optical/Laser Microlithography VIII, Proc.*, SPIE Vol. 2440 (1995) pp. 458-471.
- Chris A. Mack and C-B. Juang, "Comparison of Scalar and Vector Modeling of Image Formation in Photoresist," *Optical/Laser Microlithography VIII, Proc.*, SPIE Vol. 2440 (1995) pp. 381-394.
- E. W. Charrier, C. J. Progler and Chris A. Mack, "Comparison of Simulated and Experimental CD-Limited Yield for a Submicron I-Line Process," *Microelectronic Manufacturing Yield, Reliability, and Failure Analysis, Proc.*, SPIE Vol. 2635 (1995) pp. 84-94.
- 38. Chris A. Mack, "Lithographic Effects of Acid Diffusion in Chemically Amplified Resists," OCG Microlithography Seminar Interface '95, Proc., (1995) pp. 217-228.
- Chris A. Mack, T. Matsuzawa, A. Sekiguchi, Y. Minami, "Resist Metrology for Lithography Simulation, Part 1: Exposure Parameter Measurements," *Metrology, Inspection, and Process Control for Microlithography X, Proc.*, SPIE Vol. 2725 (1996) pp. 34-48.
- 40. A. Sekiguchi, Chris A. Mack, Y. Minami, T. Matsuzawa, "Resist Metrology for Lithography Simulation, Part 2: Development Parameter Measurements," *Metrology, Inspection, and Process Control for Microlithography X, Proc.*, SPIE Vol. 2725 (1996) pp. 49-63.
- 41. S. H. Thornton and Chris A. Mack, "Lithography Model Tuning: Matching Simulation to Experiment," *Optical Microlithography IX, Proc.*, SPIE Vol. 2726 (1996) pp. 223-235.
- 42. Chris A. Mack, "Evaluation of Proximity Effects Using Three-Dimensional Optical Lithography Simulation," *Optical Microlithography IX, Proc.*, SPIE Vol. 2726 (1996) pp. 634-639.
- 43. Chris A. Mack, "Reducing Proximity Effects in Optical Lithography," *Olin Microlithography Seminar Interface '96, Proc.*, (1996) pp. 325-336.
- 44. Chris A. Mack, "Three-Dimensional Electron Beam Lithography Simulation," *Emerging Lithographic Technologies, Proc.*, SPIE Vol. 3048 (1997) pp. 76-88.
- 45. G. Arthur, Chris A. Mack, B. Martin, "Enhancing the Development Rate Model For Optimum Simulation Capability in the Sub-Half-Micron Regime," *Advances in Resist Technology and Processing XIV, Proc.*, SPIE Vol. 3049 (1997) pp. 189-200.

- 46. C.A. Mack, K.E. Mueller, A.B. Gardiner, A. Qiu, R.R. Dammel, W.G. Koros, C.G. Willson, "Diffusivity Measurements in Polymers, Part 1: Lithographic Modeling Results," *Advances in Resist Technology and Processing XIV, Proc.*, SPIE Vol. 3049 (1997) pp. 355-362.
- Allen B. Gardiner, Anwei Qin, Clifford L. Henderson, William J. Koros, C. Grant Willson, Ralph R. Dammel, Chris Mack, William D. Hinsberg, "Diffusivity Measurements in Polymers, Part 2: Residual Casting Solvent Measurement by Liquid Scintillation Counting," *Advances in Resist Technology and Processing XIV, Proc.*, SPIE Vol. 3049 (1997) pp. 850-860.
- Katherine E. Mueller, William J. Koros, Chris A. Mack, C.G. Willson, "Diffusivity Measurements in Polymers, Part 4: Acid Diffusion in Chemically Amplified Resists," *Advances in Resist Technology and Processing XIV, Proc.*, SPIE Vol. 3049 (1997) pp. 706-711.
- 49. Edward Charrier, Chris A. Mack, Q. Zuo, M. Maslow, "Methodology for Utilizing CD Distributions for Optimization of Lithographic Processes," *Optical Microlithography X, Proc.*, SPIE Vol. 3051 (1997) pp. 541-551.
- Mark E. Mason, Robert A. Soper, R. Mark Terry, and Chris A. Mack, "Process-Specific Tuning Of Lithography Simulation Tools," *Optical Microlithography X, Proc.*, SPIE Vol. 3051 (1997) pp. 491-498.
- 51. Chris A. Mack, "Resolution and Depth of Focus in Optical Lithography," *Microlithographic Techniques in IC Fabrication, Proc.*, SPIE Vol. 3183 (1997) pp. 14-27.
- 52. Chris A. Mack, "Electron Beam Lithography Simulation for Mask Making, Part I," *17th Annual BACUS Symposium on Photomask Technology and Management, Proc.*, SPIE Vol. 3236 (1997) pp. 216-227.
- 53. C. Sauer, D. Alexander and Chris A. Mack, "Electron Beam Lithography Simulation for Mask Making, Part II: Comparison of the Lithographic Performance of PBS and EBR900-M1," *17th Annual BACUS Symposium on Photomask Technology and Management, Proc.*, SPIE Vol. 3236 (1997) pp. 413-423.
- 54. R. F. Hollman and Chris A. Mack, "Accuracy of 3-D Optical Lithography Simulation for Advanced Reticles," *17th Annual BACUS Symposium on Photomask Technology and Management, Proc.*, SPIE Vol. 3236 (1997) pp. 424-429.
- 55. G. Arthur, Chris A. Mack, and B. Martin, "A New Development Model for Lithography Simulation," *Olin Microlithography Seminar Interface '97, Proc.*, (1997) pp. 55-66.
- 56. Chris A. Mack, M. J. Maslow, R. Carpio, and A. Sekiguchi, "Impact of Developer Temperature on Dissolution Behavior," *Olin Microlithography Seminar, Interface '97, Proc.*, (1997) pp. 203-212.
- 57. R. R. Dammel, J. P. Sagan, E. Kokinda, N. Eilbeck, Chris A. Mack, G. G. Arthur, C. L. Henderson, S. A. Scheer, B. M. Rathsack, and C. G. Willson "Improved Simulation of Photoresists Using New Development Models," *Advances in Resist Technology and Processing XV, Proc.*, SPIE Vol. 3333 (1998) pp. 401-416.
- 58. Chris A. Mack, M. J. Maslow, R. Carpio, and A. Sekiguchi "New Model for the Effect of Developer Temperature on Photoresist Dissolution," *Advances in Resist Technology and Processing XV, Proc.*, SPIE Vol. 3333 (1998) pp. 1218-1231.

- 59. R. Gordon and Chris A. Mack, "Lithography Simulation Employing Rigorous Solutions to Maxwell's Equations at the Mask," *Optical Microlithography XI, Proc.*, SPIE Vol. 3334 (1998) pp. 176-196.
- J. Oey, P. Mack, and Chris A. Mack, "Metal Layer Process Characterization: Statistical and Computational Methods for Handling, Interpreting and Reacting to In-Line Critical Dimension Information," *In-line Characterization Techniques for Performance and Yield Enhancement in Microelectronic Manufacturing II, Proc.*, SPIE Vol. 3509 (1998) pp. 164-178.
- 61. Chris A. Mack, "Electron Beam Lithography Simulation for Mask Making, Part III: Effect of Spot Size, Address Grid and Raster Writing Strategies on Lithography Performance with PBS and ZEP-7000," *18th Annual BACUS Symposium on Photomask Technology and Management, Proc.*, SPIE Vol. 3546 (1998) pp. 32-44.
- 62. R. L. Gordon, Chris A. Mack, J. S. Petersen, "Design and Analysis of Manufacturable Alternating Phase-shifting Masks," *18th Annual BACUS Symposium on Photomask Technology and Management, Proc.*, SPIE Vol. 3546 (1998) pp. 606-616.
- 63. John S. Petersen, Martin McCallum, Nishrin Kachwala, Robert J. Socha, J. Fung Chen, Thomas L. Laidig, Bruce W. Smith, Ronald L. Gordon, and Chris A. Mack, "Assessment of a Hypothetical Roadmap That Extends Optical Lithography Through the 70-nm Technology Node," 18th Annual BACUS Symposium on Photomask Technology and Management, Proc., SPIE Vol. 3546 (1998) pp. 288-303.
- 64. Chris A. Mack, D. A. Legband, S. Jug, "Data Analysis for Photolithography" *Micro- and Nano-Engineering 98, Proc.*
- 65. Chris A. Mack, "Electron Beam Lithography Simulation for Mask Making" *Micro- and Nano-Engineering 98, Proc.*
- 66. R. L. Gordon and Chris A. Mack, "Mask Topography Simulation for EUV Lithography," *Emerging Lithographic Technologies III, Proc.*, SPIE Vol. 3676 (1999) pp. 283-297.
- 67. Chris A. Mack, S. Jug, D. A. Legband, "Data Analysis for Photolithography," *Metrology, Inspection, and Process Control for Microlithography XIII, Proc.*, SPIE Vol. 3677 (1999) pp. 415-434.
- A. Sekiguchi, Chris A. Mack, M. Isono, T. Matsuzawa, "Measurement of Parameters for Simulation of Deep UV Lithography Using a FT-IR Baking System," *Advances in Resist Technology and Processing XVI, Proc.*, SPIE Vol. 3678 (1999) pp. 985-1000.
- 69. M. J. Maslow, Chris A. Mack, J. Byers, "Effect of Developer Temperature and Normality on Chemically Amplified Photoresist Dissolution," *Advances in Resist Technology and Processing XVI, Proc.*, SPIE Vol. 3678 (1999) pp. 1001-1011.
- 70. T. H. Fedynyshyn, S. P. Doran, and Chris A. Mack, "A FT-IR Method to Determine Dill's C Parameter for DNQ/Novolac Resists with E-beam and I-line Exposure," *Advances in Resist Technology and Processing XVI, Proc.*, SPIE Vol. 3678 (1999) pp. 1263-1272.
- J. Sturtevant, B. Ho, K. Lucas, J. Petersen, Chris A. Mack, E. Charrier, B. Peterson, N. Koshiba, G. Barnes, "Considerations for the Use of Application-Specific Photoresists," *Advances in Resist Technology and Processing XVI, Proc.*, SPIE Vol. 3678 (1999) pp. 402-410.

- 72. Chris A. Mack, M. Ercken, M. Moelants, "Matching Simulation and Experiment for Chemically Amplified Resists," *Optical Microlithography XII, Proc.*, SPIE Vol. 3679 (1999) pp. 183-192.
- F. M. Schellenberg, V. Boksha, N. Cobb, J. C. Lai, C. H. Chen, Chris A. Mack, "Impact of Mask Errors on Full Chip Error Budgets," *Optical Microlithography XII, Proc.*, SPIE Vol. 3679 (1999) pp. 261-276.
- 74. Chris A. Mack, M. J. Maslow, J. Byers, "Effect of Developer Temperature and Normality on Conventional and Chemically Amplified Photoresist Dissolution," *Lithography for Semiconductor Manufacturing, Proc.*, SPIE Vol. 3741 (1999) pp. 148-160.
- C. Sauer and Chris A. Mack, "Electron Beam Lithography Simulation for Mask Making, Part IV: Effect of Resist Contrast on Isofocal Dose," *Photomask and X-Ray Mask Technology VI, Proc.*, SPIE Vol. 3748 (1999) pp. 27-40.
- Chris A. Mack and C. Sauer, "Electron Beam Lithography Simulation for Mask Making, Part V: Impact of GHOST proximity effect correction on process window," *19th Annual BACUS Symposium on Photomask Technology and Management*, *Proc.*, SPIE Vol. 3873 (1999) pp. 2-20.
- 77. F. M. Schellenberg and Chris A. Mack, "MEEF in Theory and Practice," *19th Annual BACUS Symposium on Photomask Technology and Management, Proc.*, SPIE Vol. 3873 (1999) pp. 189-202.
- 78. Chris A. Mack and F. M. Schellenberg, "Impact of Mask Errors on Optical Lithography," *Arch Microlithography Symposium, Interface '99, Proc.*, (1999) pp. 75-84, and *Yield Management Solutions*, Spring 2000, pp. 58-62.
- 79. Chris A. Mack, "Modeling of Projection Electron Lithography", *Emerging Lithographic Technologies IV, Proc.*, SPIE Vol. 3997 (2000) pp. 245-254.
- A. Kroyan, J. Bendik, O. Semprez, N. Farrar, C. Rowan, Chris A. Mack, "Modeling the Effects of Excimer Laser Bandwidths on Lithographic Performance", *Optical Microlithography XIII, Proc.*, SPIE Vol. 4000 (2000) pp. 658-664.
- 81. Chris A. Mack, "Analytic Approach to Understanding the Impact of Mask Errors on Optical Lithography", *Optical Microlithography XIII, Proc.*, SPIE Vol. 4000 (2000) pp. 215-227.
- A. Sekiguchi, M. Kadoi, Y. Miyake, T. Matsuzawa, and Chris A. Mack, "Development of Analysis System for F₂-Excimer Laser Photochemical Processes," *Advances in Resist Technology and Processing XVII, Proc.*, SPIE Vol. 3999 (2000) pp. 395-412.
- 83. S. Weaver, M. Lu, J. Chabala, D. Ton, C. Sauer, and Chris A. Mack, "Lithography Performance of Contact Holes Part I: Optimization of Pattern Fidelity Using MPG and MPG-II," *Photomask and X-Ray Mask Technology VII, Proc.*, SPIE Vol. 4066 (2000) pp. 160-171.
- Chris A. Mack, C. Sauer, S. Weaver, and J. Chabala, "Lithography Performance of Contact Holes - Part II: Simulation of the Effects of Reticle Corner Rounding on Wafer Print Performance," *Photomask and X-Ray Mask Technology VII, Proc.*, SPIE Vol. 4066 (2000) pp. 172-179.
- 85. I. Yu. Kuzmin and Chris A. Mack, "Comprehensive Simulation of E-beam Lithography Processes Using PROLITH/3D and Temptation Software Tools," *20th Annual BACUS Symposium on Photomask Technology*, *Proc.*, SPIE Vol. 4186 (2000) pp. 503-507.

- 86. M. D. Levenson, J. S. Petersen, D. G. Gerold, Chris A. Mack, "Phase Phirst! An Improved Strong-PSM Paradigm," *20th Annual BACUS Symposium on Photomask Technology*, *Proc.*, SPIE Vol. 4186 (2000) pp. 395-404.
- 87. Chris A. Mack, "Impact of Reticle Corner Rounding on Wafer Print Performance," Arch Microlithography Symposium, Interface 2000, Proc., (2000) pp. 91-101.
- 88. Chris A. Mack, "Corner Rounding and Line-end Shortening in Optical Lithography," *Microlithographic Techniques in Integrated Circuit Fabrication II, Proc.*, SPIE Vol. 4226 (2000) pp. 83-92.
- 89. M. Pochkowski, Chris A. Mack, and B. S. Kasprowicz, "Application of Critical Shape Analyses to Two Dimensional Patterns," *Metrology, Inspection and Process Control XV, Proc.*, SPIE Vol. 4344 (2001) pp. 169-176.
- 90. Chris A. Mack, S. Jug, R. Jones, P. Apte, S. Williams, and M. Pochkowski, "Metrology and Analysis of Two Dimensional SEM Patterns," *Metrology, Inspection and Process Control XV, Proc.*, SPIE Vol. 4344 (2001) pp. 377-384.
- J. Allgair, M. Ivy, K. Lucas, J. Sturtevant, R. Elliott, Chris A. Mack, C. MacNaughton, J. Miller, M. Pochkowski, M. Preil, J. Robinson, and F. Santos, "Characterization of Optical Proximity Correction Features," *Metrology, Inspection and Process Control XV, Proc.*, SPIE Vol. 4344 (2001) pp.200-207.
- 92. M. D. Smith and Chris A. Mack, "Examination of a simplified reaction-diffusion model for post exposure bake of chemically amplified resists," *Advances in Resist Technology and Processing XVIII, Proc.*, SPIE Vol. 4345 (2001) pp.1022-1036.
- M. D. Smith, Chris A. Mack, and J. S. Petersen, "Modeling the impact of thermal history during post exposure bake on the lithographic performance of chemically amplified resists," *Advances in Resist Technology and Processing XVIII, Proc.*, SPIE Vol. 4345 (2001) pp.1013-1021.
- 94. G. M. Schmid, M. D. Smith, Chris A. Mack, V. K. Singh, S. D. Burns, and C. G. Willson, "Understanding Molecular Level Effects During Post Exposure Processing," *Advances in Resist Technology and Processing XVIII, Proc.*, SPIE Vol. 4345 (2001) pp.1037-1047.
- 95. Z. M. Ma and Chris A. Mack, "Impact of Illumination Coherence and Polarization on the Imaging of Attenuated Phase Shift Masks", *Optical Microlithography XIV, Proc.*, SPIE Vol. 4346 (2001) pp. 1522-1532.
- 96. Will Conley, Cesar Garza, Mircea Dusa, Robert Socha, Joseph Bendik, and Chris A. Mack, "The MEEF Shall Inherit the Earth," *Optical Microlithography XIV, Proc.,* SPIE Vol. 4346 (2001) pp. 251-258.
- 97. S. Jug, R. Huang, J. Byers, Chris A. Mack, "Automatic Calibration of Lithography Simulation Parameters," *Lithography for Semiconductor Manufacturing, Proc.*, SPIE Vol. 4404 (2001).
- 98. M. E. Preil and Chris A. Mack, "Measurement and Analysis of Reticle and Wafer Level Contributions to Total CD Variation," *Lithography for Semiconductor Manufacturing, Proc.*, SPIE Vol. 4404 (2001) pp. 144-152.
- 99. S. Robertson, Chris A. Mack, and M. Maslow "Towards a Universal Resist Dissolution Model for Lithography Simulation," *Lithography for Semiconductor Manufacturing, Proc.*, SPIE Vol. 4404 (2001) pp. 111-122.

- 100. Chris A. Mack, "Electron Beam Lithography Simulation for Mask Making, Part VI: Comparison of 10 and 50 kV GHOST Proximity Effect Correction," *Photomask and X-Ray Mask Technology VIII, Proc.*, SPIE Vol. 4409 (2001) pp. 194-203.
- 101. Sergey Babin, Igor Yu. Kuzmin and Chris A. Mack, "Comprehensive Simulation of Electron-beam Lithography Processes Using PROLITH 3/D and TEMPTATION Software Tools," *Micro- and Nano-Engineering 2001, Proc.*
- 102. J. Byers, C. Mack, R. Huang, S. Jug, "Automatic Calibration of Lithography Simulation Parameters Using Multiple Data Sets," *Micro- and Nano-Engineering 2001, Proc.*
- 103. Chris A. Mack, "Impact of Graybeam Method of Virtual Address Reduction on Image Quality," 21st Annual BACUS Symposium on Photomask Technology, Proc., SPIE Vol. 4562 (2001) pp. 537-544.
- P. Rhyins, M. Fritze, D. Chan, C Carney, B.A Blachowicz, M. Vieira, C. Mack, "Characterization of Quartz Etched PSM Masks for KrF Lithography at the 100 nm Node," *21st Annual BACUS Symposium on Photomask Technology*, *Proc.*, SPIE Vol. 4562 (2001) pp. 486-495.
- 105. Stewart A. Robertson, Doris Kang, Steven D. Tye, Steven G. Hansen, Anita Fumar-Pici, Tsann-Bim Chiou, Jeffery D. Byers, Chris A. Mack, and Mark D. Smith, "Calibration of ESCAP Resist Simulation Parameters from Consideration of Printed CD Pitch Bias, CD Measurement Offset and Wafer Thermal History," *Advances in Resist Technology and Processing XIX, Proc.,* SPIE Vol. 4690 (2002) pp.952-962.
- 106. Chris A. Mack, "Theoretical Analysis of the Potential for Maskless Lithography," *Optical Microlithography XV, Proc.*, SPIE Vol. 4691 (2002) pp. 98-106.
- 107. Robert Jones, Chris A. Mack, and Jeffrey Byers, "Etch Simulations for Lithography Engineers," *Optical Microlithography XV, Proc.*, SPIE Vol. 4691 (2002) pp. 1232-1242.
- 108. Mark D. Smith, Jeffrey D. Byers, Chris A. Mack, "A Comparison Between the Process Windows Calculated with Full and Simplified Resist Models," *Optical Microlithography XV, Proc.*, SPIE Vol. 4691 (2002) pp. 1199-1210.
- 109. Jeffrey D. Byers, Mark D. Smith, and Chris A. Mack, "3D Lumped Parameter Model for Lithographic Simulations," *Optical Microlithography XV, Proc.*, SPIE Vol. 4691 (2002) pp.125-137.
- 110. Chris A. Mack, "Characterizing the Process Window of a Double Exposure Dark Field Alternating Phase Shift Mask," *Design, Process Integration, and Characterization for Microelectronics, Proc*, SPIE Vol. 4692 (2002) pp. 454-464.
- 111. Dan Bald, Saghir Munir, Barry Lieberman, William H. Howard, Chris A. Mack, "PRIMADONNA: A System for Automated Defect Disposition of Production Masks Using Wafer Lithography Simulation," 22nd Annual BACUS Symposium on Photomask Technology, Proc., SPIE Vol. 4889 (2002) pp. 263-270.
- 112. Chris A. Mack, "Measuring and Modeling Flare in Optical Lithography," Arch Microlithography Symposium, Interface 2002, Proc. (2002).
- 113. Chris A. Mack and Jeffrey D. Byers, "Improved Model for Focus-Exposure Data Analysis," *Metrology, Inspection and Process Control XVII, Proc.,* SPIE Vol. 5038 (2003) pp. 396-405.
- 114. Jeffrey D. Byers, Mark D. Smith, Chris A. Mack, and John Biafore, "Modeling Soft Bake Effects in Chemically Amplified Resists," *Advances in Resist Technology and Processing XX, Proc.*, SPIE Vol. 5039 (2003) pp. 1143-1154.

- 115. Mark D. Smith and Chris A. Mack, "Process Sensitivity and Optimization with Full and Simplified Resist Models," *Optical Microlithography XVI, Proc.*, SPIE Vol. 5040 (2003) pp.1509-1520.
- 116. Mark D. Smith and Chris A. Mack, "Methods for Benchmarking Photolithography Simulators," *Optical Microlithography XVI, Proc.*, SPIE Vol. 5040 (2003) pp. 57-68.
- 117. Chris A. Mack, "Measuring and Modeling Flare in Optical Lithography," *Optical Microlithography XVI, Proc.*, SPIE Vol. 5040 (2003) pp. 151-161.
- 118. M. D. Smith, J. D. Byers, and Chris A. Mack, "The Lithographic Impact of Resist Model Parameters," *Advances in Resist Technology and Processing XXI, Proc.*, SPIE Vol. 5376 (2004) pp. 322-332.
- Chris A. Mack and J. D. Byers, "Exploring the Capabilities of Immersion Lithography Through Simulation," *Optical Microlithography XVII, Proc.*, SPIE Vol. 5377 (2004) pp. 428-441.
- M. D. Smith, J. D. Byers, and Chris A. Mack, "Methods for Benchmarking Photolithography Simulators, Part II," *Optical Microlithography XVII, Proc.*, SPIE Vol. 5377 (2004) pp.1475-1486.
- 121. J. D. Byers, M. D. Smith, and Chris A. Mack, "Lumped Parameter Model for Chemically Amplified Resists," *Optical Microlithography XVII, Proc.*, SPIE Vol. 5377 (2004) pp. 1462-1474.
- 122. M. D. Smith, J. D. Byers, and Chris A. Mack, "The Impact of Mask Topography on Binary Reticles at the 65nm Node," *24th Annual BACUS Symposium on Photomask Technology, Proc.*, SPIE Vol. 5567-45 (2004) pp. 416-424.
- 123. Chris A. Mack, "Lithography Simulation in Semiconductor Manufacturing," *Photonics Asia, Advanced Microlithography Technologies, Proc.,* SPIE Vol. 5645 (2004) pp. 63-83.
- 124. Mark D. Smith, Trey Graves, Jeffrey D. Byers, Chris A. Mack, "Methods for Benchmarking Photolithography Simulators: Part III," *Optical Microlithography XVIII, Proc.*, SPIE Vol. 5754-99 (2005).
- 125. Mark D. Smith, Trey Graves, Jeffrey D. Byers, Chris A. Mack, "The Impact of Mask Topography on Binary Reticles at the 65nm Node," *Optical Microlithography XVIII, Proc.*, SPIE Vol. 5754-49 (2005).
- 126. Chris A. Mack, "The Causes of Horizontal-Vertical (H-V) Bias in Optical Lithography," *FujiFilm Microlithography Symposium, Interface 2005, Proc.*, (2005).
- 127. Chris A. Mack, Mark D. Smith, Trey Graves, "The impact of attenuated phase shift mask topography on hyper-NA lithography," *25th Annual BACUS Symposium on Photomask Technology, Proc.*, SPIE Vol. 5992 (2005) p. 59920Z.
- 128. Trey Graves, Mark D. Smith, Chris A. Mack, "Methods for Benchmarking Photolithography Simulators: Part IV," *Optical Microlithography XIX, Proc.*, SPIE Vol. 6154 (2006) p. 61542X.
- 129. P. Yu, D. Z. Pan, Chris A. Mack, "Fast lithography simulation under focus variations for OPC and layout optimizations," *Design and Process Integration for Microelectronic Manufacturing IV, Proc.*, SPIE Vol. 6156, (2006) p. 615618.

- 130. Chris A. Mack, D. Harrison, C. Rivas, and P. Walsh, "Impact of thin film metrology on the lithographic performance of 193nm bottom antireflective coatings", *Metrology, Inspection, and Process Control for Microlithography XXI, Proc.*, SPIE Vol. 6518 (2007) p. 65181C.
- 131. John J. Biafore, Chris A. Mack, Stewart A. Robertson, Mark D. Smith, and Sanjay Kapasi, "The Causes of Horizontal-Vertical (H-V) Bias in Optical Lithography: Dipole Source Errors", Optical Microlithography XX, Proc., SPIE Vol. 6520 (2007) p. 65203V.
- 132. Chris A. Mack, "Improved Methods for Lithography Model Calibration", *Photomask and Next-Generation Lithography Mask Technology XIV, Proc.*, SPIE Vol. 6607 (2007) p. 66071D.
- 133. S. Chauhan, M. Somervell, S. Scheer, C. Mack, R. T. Bonnecaze, and C. G. Willson, "Polymer Dissolution Model: An Energy Adaptation of the Critical Ionization Theory," *Advances in Resist Technology and Processing XXVI, Proc.*, SPIE Vol. 7273 (2009) p. 727336.
- 134. Chris A. Mack, "Impact of mask roughness on wafer line-edge roughness", *BACUS Symposium on Photomask Technology, Proc.*, SPIE Vol. 7488 (2009) p. 748828.
- 135. Chris A. Mack, "Line-Edge Roughness and the Ultimate Limits of Lithography", Advances in Resist Technology and Processing XXVII, Proc., SPIE Vol. 7639 (2010), p. 763931.
- 136. Siddharth Chauhan, Mark Somervell, Michael Carcasi, Steven Scheer, Roger T. Bonnecaze,, Chris Mack and C. Grant Willson, "Study of LER and Insoluble Particles Generation during the Photoresist Dissolution using Mesoscale Modeling", *Advances in Resist Technology and Processing XXVII, Proc.*, SPIE Vol. 7639 (2010) p. 763933.
- 137. Chris A. Mack, "A New Fast Resist Model: the Gaussian LPM", *Design for Manufacturability through Design-Process Integration V, Proc.*, SPIE Vol. 7974 (2011) p. 79740B.
- 138. Chris A. Mack, James W. Thackeray, John J. Biafore, and Mark D. Smith, "Stochastic Exposure Kinetics of EUV Photoresists: A Simulation Study", *Extreme Ultraviolet (EUV) Lithography II, Proc.*, SPIE Vol. 7969 (2011) p. 796919.
- 139. Chris A. Mack, John J. Biafore, and Mark D. Smith, "Stochastic Acid-Base Quenching in Chemically Amplified Photoresists: A Simulation Study", *Advances in Resist Technology and Processing XXVIII, Proc.*, SPIE Vol. 7972 (2011) p. 79720V.
- 140. Prateek Mehrotra, Chris A. Mack, Richard J. Blaikie, "A solid immersion interference lithography system for imaging ultra-high numerical apertures with high-aspect ratios in photoresist using resonant enhancement from effective gain media", *Optical Microlithography XX*, Proc., SPIE Vol. 8326 (2012) p. 83260Z.
- 141. Chris A. Mack, "Correlated surface roughening during photoresist development", Advances in Resist Technology and Processing XXIX, Proc., SPIE Vol. 8325 (2012) p. 83250I.
- 142. Chris A. Mack, "Defining and measuring development rates for a stochastic resist", *Advances in Resist Technology and Processing XXIX, Proc.*, SPIE Vol. 8325 (2012) p. 83251K.
- 143. Chris A. Mack, "Systematic Errors in the Measurement of Power Spectral Density", *Metrology, Inspection, and Process Control for Microlithography XXVII*, Proc. SPIE Vol. 8681 (2013).

- 144. Benjamin D. Bunday and Chris A. Mack, "Influence of Metrology Error in Measurement of Line Edge Roughness Power Spectral Density", *Metrology, Inspection, and Process Control for Microlithography XXVIII, Proc.*, SPIE Vol. 9050 (2014) p. 90500G.
- 145. Chris A. Mack and Benjamin D. Bunday, "Analytical Linescan Model for SEM Metrology", *Metrology, Inspection, and Process Control for Microlithography XXIX, Proc.,* SPIE Vol. 9424 (2015).
- 146. Chris A. Mack, "More Systematic Errors in the Measurement of Power Spectral Density", *Metrology, Inspection, and Process Control for Microlithography XXIX*, Proc. SPIE Vol. 9424 (2015).
- 147. Chris A. Mack, "Understanding the efficacy of linewidth roughness post-processing", *Advances in Patterning Materials and Processes XXXII, Proc.*, SPIE Vol. 9425 (2015) p. 94250J.
- 148. Chris A. Mack and Benjamin D. Bunday, "Improvements to the Analytical Linescan Model for SEM Metrology", *Metrology, Inspection, and Process Control for Microlithography XXX, Proc.*, SPIE Vol. 9778 (2016).
- 149. Chris A. Mack, Ananthan Raghunathan, John Sturtevant, Yunfei Deng, Christian Zuniga, Kostas Adam, "Modeling Metrology for Calibration of OPC Models", *Metrology, Inspection, and Process Control for Microlithography XXX, Proc.*, SPIE Vol. 9778 (2016).
- 150. Chris A. Mack and Benjamin D. Bunday, "Using the Analytical Linescan Model for SEM Metrology", *Metrology, Inspection, and Process Control for Microlithography XXXI, Proc.*, SPIE Vol. 10145 (2017) p. 101451R.
- 151. Chris A. Mack, Timothy A. Brunner, Xuemei Chen, and Lei Sun, "Level crossing methodology applied to line-edge roughness characterization", *Metrology, Inspection, and Process Control for Microlithography XXXI, Proc.*, SPIE Vol. 10145 (2017) p. 101450Z.
- 152. Barton Lane, Chris Mack, Nasim Eibagi, and Peter Ventzek, "Global minimization line-edge roughness analysis of top down SEM images", *Metrology, Inspection, and Process Control for Microlithography XXXI, Proc.*, SPIE Vol. 10145 (2017) p. 101450Y.
- 153. Michael Adel, et al., "Impact of stochastic process variations on overlay mark fidelity towards the 5nm node", *Metrology, Inspection, and Process Control for Microlithography XXXI, Proc.*, SPIE Vol. 10145 (2017) p. 1014509.
- 154. Timothy A. Brunner, Xuemei Chen, Allen Gabor, Craig Higgins, Lei Sun, and Chris A. Mack, "Line-edge roughness performance targets for EUV lithography", *Extreme Ultraviolet* (*EUV*) Lithography VIII, Proc., SPIE Vol. 10143 (2017) p. 101430E.
- 155. Chris A. Mack, "Reducing Roughness in Extreme Ultraviolet Lithography", *International Conference on Extreme Ultraviolet Lithography, Proc.*, SPIE Vol. 10450 (2017) p. 104500P-1.
- 156. Vito Rutigliani, Gian Francesco Lorusso, Danilo De Simone, et al., "Setting up a proper power spectral density (PSD) and autocorrelation analysis for material and process characterization", *Metrology, Inspection, and Process Control for Microlithography XXXII, Proc.*, SPIE Vol. 10585, 105851K (2018).
- 157. Andrew Liang, Chris Mack, et al., "Unbiased roughness measurements: the key to better etch performance", *Metrology, Inspection, and Process Control for Microlithography XXXII. Proc.*, SPIE Vol. 10585, 1058524 (2018).

- 158. Charlotte Cutler, James W. Thackeray, Jason DeSisto, et al., "Roughness power spectral density as a function of resist parameters and its impact through process", *Optical Microlithography XXXI, Proc.*, SPIE Vol. 10587, 1058707 (2018).
- 159. Gian Francesco Lorusso, Takumichi Sutani, Vito Rutigliani, et al., "The need for line-edge roughness metrology standardization: the imec protocol", *Metrology, Inspection, and Process Control for Microlithography XXXII, Proc.*, SPIE Vol. 10585, 105850D (2018).
- 160. Gregory Blachut, Stephen M. Sirard, Andrew Liang, Chris A. Mack, et al., "Evolution of roughness during the pattern transfer of high-chi, 10nm half-pitch, silicon-containing block copolymer structures", *Advanced Etch Technology for Nanopatterning VII, Proc.*, SPIE Vol. 10589, 1058907 (2018).

Other Publications

"The Lithography Tutor" and "The Lithography Expert", a quarterly column in *Microlithography World*, 1993 – 2008

Regular columns in the Canon Submicron Focus newsletter, 1996 - 2005

Regular columns in KLA-Tencor's Yield Management Solutions Magazine, 2001 - 2006