

CHE323/CHE384
Chemical Processes for Micro- and Nanofabrication
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Lecture 43 Lithography: Projection Imaging, part 1

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Reading:
Chapter 7, *Fabrication Engineering at the Micro- and Nanoscale*, 4th edition, Campbell

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Diffraction Review

- **Diffraction** is the propagation of light in the presence of boundaries
- In lithography, diffraction can be described by Fraunhofer diffraction - the diffraction pattern is the **Fourier Transform** of the mask transmittance function
- Small patterns diffract more: frequency components of the diffraction pattern are inversely proportional to dimensions on the mask
- For repeating patterns (such as a line/space array), the diffraction pattern becomes discrete **diffracted orders**
- Information about the pitch is contained in the positions of the diffracted orders, and the amplitude of the orders determines the duty cycle (w/p)

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Fourier Transform Properties

$\mathcal{F}\{g(x, y)\} = G(f_x, f_y)$

Linearity: $\mathcal{F}\{af(x, y) + bg(x, y)\} = a\mathcal{F}\{f_x, f_y\} + b\mathcal{F}\{g_x, f_y\}$

Shift Theorem: $\mathcal{F}\{g(x-a, y-b)\} = G(f_x, f_y)e^{-i2\pi(f_x a + f_y b)}$

Similarity: $\mathcal{F}\{g(ax, by)\} = \frac{1}{|ab|} G\left(\frac{f_x}{a}, \frac{f_y}{b}\right)$

Convolution: $\mathcal{F}\left\{\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g(\xi, \eta)h(x-\xi, y-\eta)d\xi d\eta\right\} = G(f_x, f_y)H(f_x, f_y)$

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Fourier Transform Examples

$g(x)$	Graph of $g(x)$	$G(f)$
$\text{rect}(x) = \begin{cases} 1, & x < 0.5 \\ 0, & x > 0.5 \end{cases}$		$\frac{\sin(\pi f)}{\pi f}$
$\text{step}(x) = \begin{cases} 1, & x > 0 \\ 0, & x < 0 \end{cases}$		$\frac{1}{2}\delta(f) - \frac{i}{2\pi f}$
Delta function $\delta(x)$		1
$\text{comb}(x) = \sum_{j=-\infty}^{\infty} \delta(x-j)$		$\sum_{j=-\infty}^{\infty} \delta(f-j)$
$\cos(\pi x)$		$\frac{1}{2}\delta(f + \frac{1}{2}) + \frac{1}{2}\delta(f - \frac{1}{2})$
$\sin(\pi x)$		$\frac{1}{2i}\delta(f + \frac{1}{2}) - \frac{1}{2i}\delta(f - \frac{1}{2})$
Gaussian $e^{-\pi x^2}$		$e^{-\pi f^2}$
$\text{circ}(r) = \begin{cases} 1, & r < 1 \\ 0, & r > 1 \end{cases}$ $r = \sqrt{x^2 + y^2}$		$\frac{J_1(2\pi\rho)}{\pi\rho}$ $\rho = \sqrt{f_x^2 + f_y^2}$

From *Fundamental Principles of Optical Lithography*, by Chris A. Mack (Wiley & Sons, 2007)

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Numerical Aperture

$NA = n \sin \alpha$

n = index of refraction of media (air in this case)
 α = maximum half-angle of light making it through the lens

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F - Number

The F/# or F-Stop is used by cameras as an alternative to the Numerical Aperture

$F/\# = \frac{b}{a} = \frac{1}{2NA}$

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Magnification/Reduction

- Given the reduction ratio R:

$$n_w \sin \theta_w = R n_m \sin \theta_m$$

Refractive index on mask side = n_m

Refractive index on wafer side = n_w

Entrance Pupil Aperture Stop Exit Pupil

- Entrance Pupil** - the image of the aperture stop as seen from the front of the lens
- Exit Pupil** - the image of the aperture stop as seen from the back of the lens

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Numerical Aperture

- Graphing the diffraction pattern with the aperture:

Amplitude

f_x

Lens Opening (Aperture)

Radius = NA/λ

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Numerical Aperture

Top down view:

Objective Lens Aperture

-1st 0th +1st

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Numerical Aperture

Mask Lens

Mask Lens

0th Order
±1st Order

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Forming an Image

- The objective lens focuses the diffraction orders onto the wafer

0th +1st -1st

- The **image plane** is defined as the plane where all diffraction orders arrive "in phase"

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Fourier Optics

- The objective lens produces an image equal to the Fourier transform of the light entering the lens.
- The combination of diffraction followed by the imaging lens produces a Fourier transform of a Fourier transform, which gives back the original pattern.
- However, the objective lens cuts off the high spatial frequencies of the diffraction pattern.

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Fourier Optics



- The objective lens is described by its *pupil function*, $P(f_x, f_y)$. For an ideal lens, this function just describes the size of the aperture.

$$P(f_x, f_y) = \begin{cases} 1, & \text{when } \sqrt{f_x^2 + f_y^2} \leq NA / \lambda \\ 0, & \text{otherwise} \end{cases}$$

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Fourier Optics



- Given a diffraction pattern T_m and a pupil function P , the light which makes it into the lens is just PT_m .
- The lens then takes the inverse Fourier transform of this light to give the electric field of the image, E .

$$E(x, y) = \mathcal{F}^{-1}\{PT_m\}$$

$$I(x, y) = |E(x, y)|^2$$

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Lecture 43: What have we Learned?



- Define “numerical aperture”
- What are the entrance and exit pupils of an imaging lens?
- How can one determine which diffraction orders pass through an imaging lens?
- What is the pupil function of a lens?
- Explain the concept of Fourier Optics

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